

NATIONAL PENSIONS REGULATORY AUTHORITY



NPRA/FM/PPS/01/11

NATIONAL PENSIONS ACT, 2008 (ACT 766)

APPLICATION FOR REGISTRATION OF OCCUPATIONAL PENSION, PERSONAL PENSION & PROVIDENT FUND SCHEME

(SCHEME INFORMATION)

NOTES:

- (1) *The applicant making an application for registration of a provident fund scheme under Section 129 of the Act or a group personal pension scheme under Section 135 of the Act should complete additional application relating to the Schemes.*
- (2) *All questions must be answered. If any question is not applicable, please write "N/A".*
- (3) *If boxes are provided, please tick whichever is appropriate.*

FOR OFFICIAL USE ONLY

Application No.: _____ **Date Application Received:** _____

Fee Receipt No.: _____ **Subject Officer:** _____

Date of Fee Receipt: _____ **Input Officer:** _____

Date of Letter of Acknowledgement: _____ **Verification Officer:** _____

SECTION I - THE SCHEME

1.1 Name of the Scheme : _____

1.2 Type of Scheme:

■ Employer Sponsored Scheme (please fill Form)

■ Group Personal Pension Scheme (please fill Form)

1.3 Proposed Commencement Date of the Scheme:

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DD | MM | YYYY

SECTION II - TRUSTEES OF THE SCHEME

2.1 No. of Trustees: _____

2.2 Names of Trustees of the Scheme: _____

SECTION III - CUSTODIAN(S) OF THE SCHEME ASSETS

3.1 Have the Trustees of the Scheme appointed a Custodian(s) of the Scheme? Yes No

3.2 If a Custodian(s) have been appointed, State name(s) of Custodian(s):

SECTION IV - FUND MANAGER(S) OF THE SCHEME

4.1 Have the Trustees of the Scheme appointed a Fund Manager(s) to the Scheme? Yes No

4.2 If a Fund Manager(s) have been appointed, State name(s) of Fund Manager(s):

SECTION V - DOCUMENTS TO BE ATTACHED TO THIS APPLICATION

Please specify no. of Form(s) attached : _____

- 5.1 *(For Employer Sponsored Schemes)*
Complete Form for information relating to the Employer sponsoring the Scheme and the Employers who will participate in the Scheme.
- 5.2 *(For Group Personal Pension Schemes)*
Complete Form for information relating to the Group sponsoring the Scheme and the Associations who will participate in the Scheme.
- 5.3 Complete Form for information relating to the Trustees of the Scheme
- 5.4 An undertaking by the applicant to the Authority regarding compliance with Requirements and Standards for registered schemes stipulated by the Authority
- 5.5 A statement setting out the Investment Policy (including the Investment Objectives) of the Scheme in accordance with *Section 153(4)* of the Act.
- 5.6 A copy of the Governing Rules or proposed Governing Rules that are going to govern the Scheme.

SECTION VI - DECLARATION

I declare that to the best of my knowledge and belief the information given in this application form is correct and complete.

I certify that the documents attached to this application are true and correct copies.

I undertake to notify the Authority of any matter which affects the validity of any information given in support of this application.

After the application is approved, I undertake to notify the Authority of any material changes to, or affecting the completeness or accuracy of the information provided in this Form, as soon as possible.

- Name of Official*: _____
- Title: _____
- Signature: _____
- Date: _____

- Official Company/
Organisation Stamp: _____

NB: Official connotes any Senior Management Personnel/ Public Official

Name and details of the contact person for the Authority's enquiries in connection with this application:

Name: _____

Telephone No.: _____

E-mail: _____
